

# Detecting and Analyzing I/O Performance Regressions

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Report TUD-SERG-2014-010

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TUD-SERG-2014-010

Published, produced and distributed by:

Software Engineering Research Group  
Department of Software Technology  
Faculty of Electrical Engineering, Mathematics and Computer Science  
Delft University of Technology  
Mekelweg 4  
2628 CD Delft  
The Netherlands

ISSN 1872-5392

Software Engineering Research Group Technical Reports:

<http://www.se.ewi.tudelft.nl/techreports/>

For more information about the Software Engineering Research Group:

<http://www.se.ewi.tudelft.nl/>

Note: Pre-print of the paper accepted by the Journal of Software Evolution and Process

JOURNAL OF SOFTWARE: EVOLUTION AND PROCESS

*J. Softw. Evol. and Proc.* 2013; **00**:1–20

Published online in Wiley InterScience (www.interscience.wiley.com). DOI: 10.1002/smr

# Detecting and Analyzing I/O Performance Regressions

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## SUMMARY

Regression testing can be done by re-executing a test suite on different software versions and comparing the outcome. For functional testing, the outcome of such tests is either pass (correct behaviour) or fail (incorrect behaviour). For non-functional testing, such as performance testing, this is more challenging as correct and incorrect are not clearly defined concepts for these types of testing.

In this paper, we present an approach for detecting and analyzing I/O performance regressions. Our method is supplemental to existing profilers and its goal is to analyze the effect of source code changes on the performance of a system. In this paper, we focus on analyzing the amount of I/O writes being done. The open source implementation of our approach, SPECTRAPERF, is available for download.

We evaluate our approach in a field user study on Tribler, an open source peer-to-peer client and its decentralized solution for synchronizing messages, Dispersy. In this evaluation, we show that our approach can guide the performance optimization process, as it helps developers to find performance bottlenecks on the one hand, and on the other allows them to validate the effect of performance optimizations. In addition, we perform a feasibility study on Django, the most popular Python project on Github, to demonstrate our applicability on other projects. Copyright © 2013 John Wiley & Sons, Ltd.

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KEY WORDS: performance regressions; performance analysis; performance optimization

## 1. INTRODUCTION

Regression testing is performed on a modified program to instill confidence that changes are correct and have not adversely affected unchanged portions of the program [1]. It can be done by re-executing a test suite on different software versions and comparing the test suite outcome. For functional testing, the functionality of a program is either correct or incorrect. Hence, the outcome of such tests is either pass or fail. For non-functional testing, correct and incorrect are not clearly defined concepts [2], making regression testing even more challenging. An example of non-functional testing is performance testing. Two possible reasons for performance testing are:

1. To ensure the software behaves within the limits specified in a service-level agreement (SLA)
2. To find bottlenecks or validate performance optimizations

SLA limits are often specified as hard thresholds for execution/response time, i.e., the maximum number of milliseconds a certain task may take. The main reason for this is that execution time influences the user-perceived performance the most [3]. For performance optimizations, such a limit is not precisely defined, but follows from comparison with the previous software version instead, as the goal is to make a task perform as fast or efficient as possible. Hence, we are interested in finding out whether a specific version of an application runs faster or more efficiently than its predecessor.

As a result, including performance tests in the regression testing process may provide opportunities for performance optimization. In fact, in this paper we will show that the outcome of these tests can guide the optimization process.

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Performance optimization can be done on various metrics. Execution time, which is the most well-known, can be analyzed using traditional profilers. Other examples of metrics which can be optimized are the amount of I/O, memory usage and CPU usage. These metrics are difficult to analyze for software written in higher-level languages, such as Python, due to the lack of tools. Hence, the understanding of how software written in such languages behaves regarding these metrics is often low [4]. In addition, understanding the performance of a system in general is difficult because it is affected by every aspect of the design, code and execution environment [5].

In this paper, we propose a method which helps performance experts understand how the performance, focusing on the I/O writes metric mentioned above, changes over the different versions of their software. Performance can be monitored on various levels, for example, on the system level [?] and on the function level. Profilers can monitor performance on the function level. Our method is supplemental to existing profilers in the way that it uses the output of these tools to analyze the effect of source code changes on the performance of a system. We achieve this by monitoring the execution of a specific test for two versions of an application and comparing the results. The result of our approach is a report which helps a performance expert to:

1. Understand the impact on write performance of the changes made to the software on a function-level granularity
2. Identify potential optimization opportunities by finding regressions or validate fixes

We evaluate our approach in a field user study on a decentralized peer-to-peer (P2P) BitTorrent client, Tribler [6]. In the first part of our study, we analyze the performance history of a component in Tribler by analyzing its unit test suite. In the second part, we analyze the effect of nondeterminism on our approach, by analyzing a 10 minute run of Tribler in the wild. Finally, we ensure the external validity of our approach in a feasibility study on Django, a popular Python web framework.

The outline of this paper is as follows. In the next section, we first give a motivational example for our approach. In Section 3, we present our problem statement. In Section 4, we introduce our approach for detecting and analyzing I/O performance regressions. Section 5 details the implementation of our approach, called SPECTRAPERF. In Section 6, we discuss the setup and results of our user study on Tribler and Dispersy. Section 7 deals with the results of our feasibility study on Django. We discuss these results and the limitations of our approach in Section 8. In Section 9, we discuss related work. We conclude our work in Section 10.

## 2. MOTIVATIONAL EXAMPLE

In a database system, some queries cause the creation of a temporary table\*. The creation of such a table is often done silently by the database system itself, but may be intensive in terms of I/O usage. For example, SQLite creates a temporary file to store the table in. Finding out which function causes the temporary table creation can help reduce the I/O footprint of an application. Because I/O takes time, we can detect this behaviour using a traditional profiler, which is based on execution time. However, there is no information available about whether the function resulted in the creation of a temporary table, or that the high execution time was caused by something else. This makes the issue hard to diagnose and optimize. In addition, if a developer has found the cause of the temporary table generation, a fix is difficult to validate due to the same reason: it is difficult to verify whether the temporary file was indeed not created, or that it was simply created and deleted. Using an approach which can automate this process, we can see if a function has started generating temporary tables since the previous version. Then, after fixing it, we can validate if our optimization had the desired effect.

\*For example, for SQLite: <http://www.sqlite.org/tempfiles.html>

### 3. PROBLEM STATEMENT

By including performance testing in the regression testing process, developers can get feedback about the way their changes to the code impact the performance of the application. This feedback can be used to 1) be warned of undesired negative effects or 2) validate the positive effect of a performance bug fix. To give this feedback, we must do the following:

1. Define which metrics we want to analyze and combine this set of metrics into a *performance profile*, which describes the performance behaviour of a revision
2. Generate such a performance profile for every source code revision
3. Compare the most recent profile with the profile(s) of the preceding revision(s)
4. Analyze which source code change(s) caused the change(s) in performance

In this paper, we focus on the following research question:

*Main RQ: How can we guide the performance optimization process by doing performance regression tests?*

To answer this research question, we divide it into the subquestions discussed in the remainder of this section.

*RQ 1: How can we monitor performance data and generate a comparable profile out of this data?*

Depending on which metric we want to analyze, we must find a suitable monitor to record performance data. Ideally, we want to be able to monitor without needing to change the source code of the application. An additional challenge is that an application may use libraries written in different programming languages, making it more difficult to get fine-grained information about, for example, I/O.

A challenge is formed by the fact that monitoring the same test twice may result in slightly different performance profiles, due to variations in, for example, data contents and current memory usage [7], or other applications running on the same machine. As such, we must devise a method for comparing these profiles:

*RQ 2: How can we compare the generated performance profiles?*

Finally, we must be able to analyze the differences between profiles and report on the functions most likely to cause the change in performance:

*RQ 3: How can we analyze and report on the differences between profiles?*

In this paper, we investigate an approach which helps us to detect and analyze performance regressions. In this study, we focus on detecting and analyzing performance regression caused by write I/O. We expect that our approach can be adapted to work for other performance metrics, which we will verify in future work.

### 4. APPROACH

The goal of our approach is to analyze the effect of source code changes on the performance of a system. Ideally, we would like to be able to generate a report explaining per function how much a performance metric changed, compared to the previous source code revision. In this section, we explain our approach for generating such a report. The idea of our approach is that we summarize the behaviour of an application during the execution of a test execution in a *profile*. After an update, we compare the behaviour of our application during the execution of the same test using that profile.

Table I. Illustration of the profile generation idea

| Revision: 1       | Avg. # bytes written per call |       |       |             |             | Profile     |
|-------------------|-------------------------------|-------|-------|-------------|-------------|-------------|
| Function          | $t_0$                         | $t_1$ | $t_2$ | $t_3$       | $t_4$       |             |
| flushToDatabase() | <b>900</b>                    | 1000  | 1200  | 1100        | <b>1500</b> | [900-1500]  |
| generateReport()  | <b>1200</b>                   | 1500  | 1359  | <b>1604</b> | 1300        | [1200-1604] |

#### 4.1. Profile Generation

To be able to report on a function-level granularity, we must also monitor data on this granularity. Therefore, we first automatically instrument (see Section 5) all functions in our application that perform writes. The instrumentation code writes an entry to the log for every write action, containing the number of bytes written, the name of the function and the location of the file being written to.

Second, we let the instrumented code execute a test, which generates a log of all write actions made during that execution. This test can be any existing, repeatable test (suite), for example, a unit test or integration test suite. The write actions made to the log are filtered out from this process.

To lessen the effect of variation within the program execution [7], for example, due to data content and current memory usage, we execute the test several times for each revision and combine the logged data into a performance profile. The number of times the test must be executed to get an accurate profile is defined by a tradeoff between accuracy and test execution time. Ideally, we would like to run the test many times to get a more precise profile, but this may be impractical, depending on the execution time. A profile is generated by doing the following for every function:

- Calculate the average number of bytes a function writes per call during a test execution (hence: divide the total number of bytes written by that function during the test execution by the total number of calls to that function during the test execution)
- For every test execution, define the highest and lowest values for this average number of bytes written per call as the accepted range for that revision for that function

Table I demonstrates this idea. The profile can be read as: ‘During revision 1, flushToDatabase() wrote an average of 900 to 1500 bytes per call and generateReport() wrote an average of 1200 to 1604 bytes per call.’

#### 4.2. Profile Analysis

In order to assess the changes in performance of a revision, we compare its profile with the profile of the previous revision. While this can be done manually, this is a tedious process and prone to mistakes. We propose to automate the comparison using a method inspired by spectrum-based fault localization (SFL) [8]. SFL is a technique which closely resembles the human diagnosis process, making the diagnosis easy to interpret. Another advantage of automating this comparison, is that we can use the technique in automated testing environments, such as continuous integration environments. To the best of our knowledge, we are the first to propose a method which is inspired by spectrum-based analysis for performance regression detection.

For every test execution  $t_i$ , we record the write data as described in Section 4.1. We verify for every function whether the recorded average number of bytes written falls in (1) or outside (0) the accepted range of the profile of the previous revision. As a result, we get a binary vector in which every row represents a function. If we place those vectors next to each other, we get a matrix. Table II shows sample data and the resulting matrix for three test executions  $t_i$ , after comparing them with the profile of Table I. We use three executions here for brevity, but this may be any number. As an illustration, we describe the formation of the ( $t_0$ ) vector in Table II:

1. The value monitored for flushToDatabase() at  $t_0$  is 1000. This value falls **inside** the accepted range (900-1500) for this function. Hence, the first value of ( $t_0$ ) is 1.
2. The value monitored for generateReport() at  $t_0$  is 2200. This value falls **outside** the accepted range (1200-1604) for this function. Hence, the second value of ( $t_0$ ) is 0.
3. The value monitored for writeCache() at  $t_0$  is 10000. This function does not have an accepted range defined in the profile. Hence, the third value of ( $t_0$ ) is 0.

Table II. Illustration of profile comparison

| Revision: 2       | Average # bytes written |       |       | Matrix    |           |           | SC   |
|-------------------|-------------------------|-------|-------|-----------|-----------|-----------|------|
| Function          | $t_0$                   | $t_1$ | $t_2$ | ( $t_0$ ) | ( $t_1$ ) | ( $t_2$ ) |      |
| flushToDatabase() | 1000                    | 1200  | 1100  | 1         | 1         | 1         | 1    |
| generateReport()  | 2200                    | 2000  | 1600  | 0         | 0         | 1         | 0.58 |
| writeCache()      | 10000                   | 12000 | 8000  | 0         | 0         | 0         | 0    |
| Output vector     |                         |       |       | 1         | 1         | 1         |      |

Repeating this process for  $t_1$  and  $t_2$  results in vectors ( $t_1$ ) and ( $t_2$ ).

The analysis step now works as follows. When performance did not change after the source code update, all monitored values for all functions should fall into the accepted ranges of the profile of the previous revision. For three test executions, this is represented by the row [1 1 1] for every function. Any deviations from this mean that the average number of bytes written for that function was higher or lower than the accepted range. By calculating the similarity coefficient  $SC$  for each row and the ‘ideal’ vector [1 1 1], we can see whether the average number of bytes written for that function has changed ( $SC$  close to 0) or that it is similar to the previous profile ( $SC$  close to 1). As the similarity coefficient, we chose to use Ochiai following advice from literature [9]. The Ochiai similarity coefficient ( $SC$ ) for two binary vectors  $v_1$  and  $v_2$  is defined as:

$$SC = \sqrt{\frac{a}{a+b} * \frac{a}{a+c}} \quad (1)$$

with  $a$  the number of positions occupied by 1 in both vectors,  $b$  the number of positions occupied by 1 in  $v_1$  and by 0 in  $v_2$  and  $c$  the number of positions occupied by 1 in  $v_2$  and by 0 in  $v_1$ . Using the  $SC$ , we can make a ranking of the functions most likely to have been affected by the update. When all  $SC$ ’s are close or equal to 1, the average number of bytes written did not change for any function after the update. The functions with  $SC$  closer to 0 are likely to have been affected by the update. As an illustration, we demonstrate how  $SC$  is calculated for `generateReport()`:

$$\begin{aligned}
 v_1 &= [0 \ 0 \ 1] \\
 v_2 &= [1 \ 1 \ 1] \\
 a &= 1 \\
 b &= 0 \\
 c &= 2 \\
 SC &= \sqrt{\frac{1}{3}} = 0.58
 \end{aligned}$$

In Table II, from the  $SC$  column we can conclude that the performance of the `generateReport()` and `writeCache()` functions were likely to have been affected by the changes made for revision 2.

While the  $SC$  allows us to find *which* functions were affected by the update, it does not tell us *how* they were affected. For example, we cannot see if `writeCache()` started doing I/O in this version, or that the amount of I/O increased or decreased. Therefore, we append the report with the average number of bytes the monitored values were outside the accepted range (*Impact*). We also display the average number of calls and the *TotalImpact*, which is calculated by the average number of calls to that function multiplied with *Impact*. This allows us to see if the performance decreased or increased and by how much. In addition, we display the difference of the highest and lowest value in the range (*RangeDiff*). The goal of this is to help the performance expert understand the ranking better. For example, when a monitored value is 100 bytes outside the accepted range, there is a difference whether the range difference is small (e.g., 50 bytes) or larger (e.g., 50 kilobytes).

Table III. Similarity report for Table II

| Revision: 2       |      |         |        |             |           |      |
|-------------------|------|---------|--------|-------------|-----------|------|
| Function          | SC   | # calls | Impact | TotalImpact | RangeDiff | Runs |
| flushToDatabase() | 1    | 50      | 0      | 0           | 600       | 3/3  |
| generateReport()  | 0.58 | 50      | 496 B  | 24.8 KB     | 404       | 3/3  |
| writeCache()      | 0    | 500     | 10 KB  | 5 MB        | N/A       | 3/3  |

Additionally, we display the number of test executions out of the total number of test executions for this revision during which this function wrote bytes. This is important to know, as a function does not necessarily perform I/O in all executions. For example, an error log function may be triggered in only a few of the executions. A final extension we make to our report is that we collect data for a complete stack trace instead of a single function. We do this because 1) the behaviour of a function may be defined by the origin from which it was called (e.g., a database `commit()`) and 2) this makes the optimization process easier, as we have a preciser description of the function behaviour.

Summarizing, the final report of our analysis contains a ranking of stack traces. In this ranking, the highest ranks are assigned to the traces of which the write behaviour most likely has changed due to the source code changes in this revision. The ranking is made based on the *SC* (low to high) and the *TotalImpact* (high to low). In this way, the stack traces which were impacted the most, and were outside the accepted range in most test executions, are ranked on top. These stack traces are the most likely to represent performance regressions.

Table III shows the extended report. Throughout this paper, we will refer to this type of report as the *similarity report* for a revision. From the similarity report, we can see that the average number of bytes written by `generateReport()` has increased relatively a lot compared to revision 1: the value for *Impact* is larger than the difference of the range in the profile. However, as *SC* and *TotalImpact* indicate, this was not the case for all test executions and the average total impact was low. Additionally, we can immediately see from this report that `writeCache()` was either added to the code, or started doing I/O compared to the previous version, as there was no accepted range defined for that function. In this case, *Impact* represents the average number of bytes written by that function. We can also see that the *TotalImpact* of the additional write traffic is 5MB, which may be high or low, depending on the test suite and the type of application.

## 5. IMPLEMENTATION

In this section, we present the implementation of our approach called SPECTRAPERF. SPECTRAPERF is part of the open-source experiment runner framework GUMBY\*, and is available for download from the GUMBY repository. Our implementation consists of two parts, the data collection and the data processing part.

```

1 (begin)
2 => python.function.entry
3 => syscall.open.entry
4 <= syscall.open.return
5 => syscall.write.entry
6 <= syscall.write.return
7 <= python.function.return
8 (end)

```

Listing 1. Set of available probe points in a writing Python function.

\*<http://www.github.com/tribler/gumby>



### 5.1. Data Collection

To collect data on a function-level granularity, we must use a profiler or code instrumentation. In our implementation, we use Systemtap [10], a tool to simplify the gathering of information about a running Linux system. The difference between Systemtap and traditional profilers is that Systemtap allows dynamic instrumentation of both operating system (*system calls*) and application-level functions. Because of the ability of monitoring system calls, we can monitor applications which use libraries written in different languages. In addition, by instrumenting system calls, we can monitor data which is normally hidden from higher-level languages such as the number of bytes written or allocated.

These advantages are illustrated by the following example. We want to monitor the number of bytes written by application-level functions of an application that uses libraries written in C and in Python, so that we can find the functions that write the most during the execution of a test. Libraries written in C use different application-level functions for writing files than libraries written in Python. If we were to instrument these libraries on the application level, we would have to instrument all those functions. In addition, we would have to identify all writing functions in all libraries. However, after compilation or interpretation, all these functions use the same subset of system calls to actually write the file. Hence, if we could instrument those system calls and find out from which application-level function they were called, we can obtain the application-level information with much less effort.

By combining application-level and operating system-level data with Systemtap, we can get a detailed profile of the writing behaviour of our application and any libraries it uses. Systemtap allows dynamic instrumentation [10] by writing *probes* which can automatically instrument the entry or return of functions. Listing 1 shows the workflow through (a subset of) the available probe points in a Python function which writes to a file. Note that, if we want to monitor other metrics such as network traffic, we must probe other system calls\*.

```

1 probe begin{ /* Print the CSV headers */ }
2 probe python.function.entry{ /* Add function name to the stack trace */ }
3 probe syscall.open.return{ /* Store the filehandler and filename of the
   opened file */ }
4 probe syscall.write.return{ /* Add the number of bytes written */ }
5 probe python.function.return{ /* Print the python stack trace and the number
   of bytes written */ }

```

Listing 2. Description of probes for monitoring Python I/O write usage.

The subject system of our user study (see Section 6), Tribler, is written in Python. Therefore, we implemented a set of probes to monitor the number of bytes written per Python function. Listing 2 shows the description of this set of probes<sup>†</sup>. By running these probes together with any Python application, we can monitor write I/O usage on a function-level granularity. Files may be written by other system calls such as *sys\_writev* and *sys\_sendfile*. Likewise, system calls other than *sys\_open* may open files or get file descriptors. We have counted the number of calls to various system calls for writing files during an execution of the Django test suite described in Section 7. *sys\_write* was called 292,000,000 times, *sys\_writev* was called 236 times and the other functions were not called. In addition, the total number of bytes written by those 236 calls to *sys\_writev* was 39K. Therefore, for clarity of this text, we have only probed the *sys\_write* and *sys\_open* system calls during our studies.

While Systemtap natively supports C, C++ and Java, it does not include native support for probing Python programs. Therefore, we use a patched version of Python, which allows Systemtap to probe functions. This version of Python can be automatically installed using GUMBY.

\*See <http://man7.org/linux/man-pages/man2/syscalls.2.html> for Linux system calls.

<sup>†</sup>See the GUMBY source code for the exact implementation.

To monitor write actions, we count the number of bytes written per stack trace. To maintain a stack trace, for every Python function we enter (*python.function.entry*), we add the function name to an array for that thread. This allows us to distinguish multiple threads of the application. Then, for all the writes done during the execution of that function, we sum the total number of bytes written per file (*syscall.open.entry* and *syscall.write.entry*). We use the probe on the open system call only to map the file descriptors with a filename (per thread). We do this so that we can probe functions which use the file descriptor. As a result, the open system call does not have to be made from within the function. After returning from the Python function (*python.function.return*), we output the number of bytes written per file for the function and the stack trace to that function in CSV format. As a result, we have a CSV file with the size and stack traces of all write actions during the test execution.

## 5.2. Data Processing

After collecting the data, we import it into a SQLite\* database using R† and Python. From this database, we generate a report for each test execution (the *test execution report*) which shows:

1. The stack traces with the largest total number of bytes written.
2. The stack traces with the largest number of bytes written per call.
3. The filenames of the files to which the largest total number of bytes were written.

The test execution report helps with locating the write-intensive stack traces for this execution. In addition, when we have monitored all test executions for a revision, we generate a profile as described in the previous section. We use this profile as a basis to analyze test executions for the next revision.

## 6. FIELD USER STUDY: DISPERSY AND TRIBLER

We evaluate our approach in a field user study. The goal of our study is to determine whether I/O performance regressions can be found and optimizations can be verified using our approach. In particular, we focus on these research questions:

*Eval RQ 1: Does our approach provide enough information to detect performance regressions?*

*Eval RQ 2: Does our approach provide enough information to guide the performance optimization process?*

*Eval RQ 3: Does our approach provide enough information to verify the effect of made performance optimizations?*

*Eval RQ 4: How does our approach work for test executions which are influenced by external factors?*

In this section, we present the experimental setup of our field user study.

*Field Setting:* The subject of our study is Tribler [6], a fully decentralized open source BitTorrent client. Since its launch in 2006, Tribler was downloaded over a million times. Tribler is an academic prototype, developed by multiple generations of students, with approximately 100 KLOC. Tribler uses Dispersy [11] as a fully decentralized solution for synchronizing messages over the network. Tribler has been under development for 9 years. One of the goals for the next version is to make it run better on older computers. Therefore, we must optimize the resource usage of Tribler. In the first part of our study, we analyze the unit test suite of Dispersy. In the second part, we analyze a 10 minute idle run of Tribler, in which Tribler is started without performing any actions in the GUI.

\*<http://www.sqlite.org/>

†<http://www.r-project.org/>

However, because of the peer-to-peer nature of Tribler, actions will be performed in the background as the client becomes a peer in the network after starting it.

*Participant Profile:* The questionnaire was filled in by two participants. Participant I is a PhD student with 4 years of experience with Tribler. Participant II is a scientific programmer with 5 years of experience with Tribler, in particular with the Dispersy component. Both participants describe their knowledge of Tribler and Dispersy as very good to excellent.

*Experimental Setup:* Tribler and Dispersy are being maintained through GitHub<sup>\*</sup>. We implemented a script in GUMBY which does the following for each of the last  $n$  commits:

1. Execute the required test 5 times together with the Systemtap probes (this number was chosen based on the execution time of the tests - we have no statistical evidence that this is indeed an optimal value)
2. Load the monitored data into a SQLite database
3. Generate a test execution report for each test execution as explained in Section 5.2
4. Compare the output of each run with the previous revision and add this result to the activity matrix  $m$
5. Calculate  $SC$  for every row in  $m$
6. Generate a similarity report from the activity matrix as displayed in Table III
7. Generate a profile to compare with the next revision

After all commits have been analyzed, the data is summarized in an *overview* report. The overview report shows a graph (e.g., Figure 1) of the average number of total bytes written for the test executions of a revision/commit and allows the user to drill down to the reports generated in step 3 and 6, i.e., each data point in the graph acts as a link to the similarity report for that commit. Each similarity report contains links to the test execution reports for that commit. In addition, we added a link to the GitHub diff log for each commit, so that the participants could easily inspect the code changes made in that commit.

In the Dispersy case study, we will analyze the unit test suite of Dispersy for the last 200 revisions. In the Tribler case study, we will analyze a 10 minute idle run of Tribler for the last 100 revisions. Tribler needs some time to shutdown. If for some reason, Tribler does not shutdown by itself, the instance is killed after 15 minutes using a process guard. Note that these numbers were chosen based on the execution time of the tests. We have no statistical evidence that these are indeed optimal values.

All experiments were conducted on a dual Intel Xeon CPU 2.40GHz with 12 cores and 8GB of memory, running Debian with a custom<sup>†</sup> compiled kernel 3.12.

*Questionnaire:* To evaluate our approach, we asked two developers from the Tribler team to rate the quality and usefulness of the reports. We presented them with the reports for the Dispersy and Tribler case study and asked them to do the following:

1. To select the 3 most interesting areas (5-10 data points) on the graphs and rate them 1 (first to investigate) to 3 (third to investigate)
2. To mark with 1-3 the order of the points they would investigate for each area

Then, for each area/phenomenon and each selected data point, we asked them to answer the following:

1. Which position shows the stack trace you would investigate first/second/third, based on the report?
2. Does this lead to an explanation of the phenomenon, and if so, which one?
3. If not, please drill down to the separate test execution reports. Do these reports help to explain the phenomenon?

<sup>\*</sup><http://www.github.com/tribler>

<sup>†</sup>Systemtap requires the uprobes kernel module for userspace probing, which is not available by default in all kernels. For more information, see [https://sourceware.org/systemtap/SystemTap\\_Beginners\\_Guide/userspace-probing.html](https://sourceware.org/systemtap/SystemTap_Beginners_Guide/userspace-probing.html).

Table IV. Overview of Dispersy evaluation results

| Phenomenon | Participant | # Ranking              | Helpful? |
|------------|-------------|------------------------|----------|
| A          | I           | 1                      | Yes      |
| B          | II          | 84                     | No       |
|            |             | test execution reports | No       |
| C          | II          | 1                      | Partly   |
|            |             | 18                     | Yes      |
| D          | I (area 1)  | 1                      | Yes      |
|            | I (area 2)  | 1                      | Partly   |
|            | II          | 1                      | Yes      |

Finally, we asked them general questions about the reports concerning the usability and whether they expect to find new information about Tribler and Dispersy using this approach. In the next section, we present the results of our study. The reports can be found online [12].

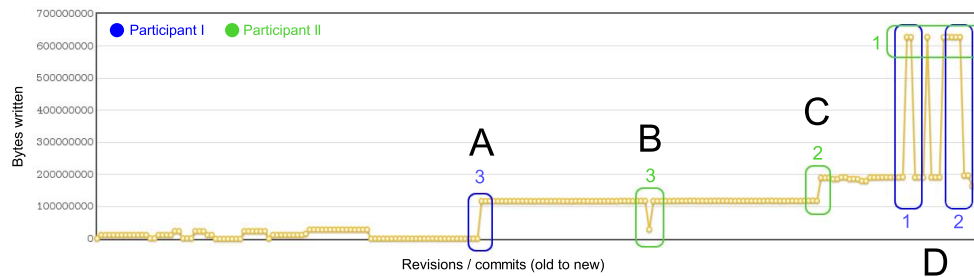


Figure 1. Average number of bytes written during an execution of the Dispersy unit test suite for each commit

### 6.1. Case Study I: Dispersy Unit Test Suite

Every run of the Dispersy unit test suite took approximately 10 minutes. As a result, the experiment for 200 revisions and 5 iterations ran for 10000 minutes, approximately 7 days. While we did not do this, it is possible to run these executions in parallel, reducing the total running time. The unit test suite had a coverage\* of 73%. During a test suite execution, an average of 130 different stack traces doing writes were monitored. The average length of a stack trace was 9. Figure 1 contains the graph generated during the Dispersy study. In the graph, we highlighted the areas marked by the participants (including their rankings for the most interesting ones). Both participants selected phenomenon D as the most interesting to investigate, due to the increased writes of over 400 MB. Participant I considered the peaks as separate phenomena, while participant II considered them as one event. Furthermore, participant II expected that the cause of phenomenon A was the addition of test cases which resulted in more I/O, hence he selected different phenomena to investigate. Next, we discuss each phenomenon and the way the participants investigated them. Table IV gives an overview of which ranked position the participants analyzed and whether the information provided was useful.

*Phenomenon A:* The increase was caused by a bugfix. Before this bugfix, data was not committed to the database.

*Participant's Analysis:* Participant I indicated that our ranking correctly showed that the database commit function started doing I/O or was called since the previous commit.

*Phenomenon B:* The drop in writes is due to the order in which the Git commits were traversed. Git allows branching of code. In this case, the branch was created just before phenomenon A and merged back into the main branch in phenomenon B. In Git, a pull request can contain multiple

\*Calculated with <http://nedbatchelder.com/code/coverage/>

subcommits. When requesting the Git log, Git returns a list of all commits (including subcommits) in topological order. This means that every merge request is preceded directly by its subcommits in the log. Hence, these commits were traversed by us first. Figure 2 shows an example for the traversal order of a number of commits.

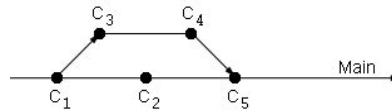


Figure 2. Order of traversal of commits in Git log ( $C_1$  to  $C_5$ )

Likewise, the drop during phenomenon B was caused by testing ‘old’ code, which lead to a confusing report. For the Tribler and Dispersy projects, short-living feature branches are used. As a result, we can avoid the traversal order problem by testing only merge requests on the main branch, without subcommits. However, this would also make the analysis of the cause more difficult as the number of changes to the code is larger when subcommits are combined (“squashing” in Git terminology). In other projects, which use large long-living branches, it may be better to follow all commits within the branch. Hence, the traversal should be selected depending on the way branches are used in the project. In future work, we will investigate in more projects how the traversal order affects the analysis results.

*Participant’s Analysis:* Participant II was not able to explain this effect from the report. However, after explaining this effect, the phenomenon was clear to him.

*Phenomenon C:* In the updated code, a different test class was used which logged more info.

*Participant’s Analysis:* Participant II indicated that he inspected the similarity reports for the highest and the lowest point of the phenomenon. From the report for the highest point, he suspected the #1 ranked stack trace caused the phenomenon. However, as he was not convinced yet, he used the report for the lowest point to verify his suspicions, in which this stack trace was ranked #18. From the combination of the reports, he concluded the number of calls changed from 270 to 400, causing the phenomenon. After inspecting the code changes using the GitHub diff page, he concluded that the different test class was the cause for the increase in the number of calls.

Because the participant was not convinced by the #1 ranked stack trace by itself, we marked this stack trace as ‘partly useful’ in Table IV. Following the advice from Participant II, the reports were extended with the `CallsDiff` metric after the user study. This metric shows the difference in the number of calls to each stack trace, compared to the previous revision.

*Phenomenon D:* A new test case creates 10k messages and does a single commit for every one of these messages, introducing an additional 435 MB of writes.

*Participant’s Analysis:* Participant I marked this phenomenon as two separate events, for the same reason as explained for phenomenon B. Both participants were able to explain and fix the issue based on the highest ranked stack trace in the report. This was the trace in which a commit is made to the database, which had a *SC* of 0 and a *TotalImpact* of 435MB. As the number of calls was 10k, the participants fixed the issue by grouping the commits. The fix was verified using our approach. From the graph, we could see that the total writes decreased from 635MB to 200MB. From the similarity report, we found that the number of calls to the stack trace decreased from 10k to 8.

## 6.2. Case Study II: Tribler Idle Run

During a 10 minutes idle run, an average of 130 different stack traces doing writes was monitored. The average length of a stack trace was 14. Figure 3 contains the graph generated during the Tribler case study. We have marked the areas selected by the participants. It is obvious that this graph is less stable than the Dispersy graph. The reason for this is that the behaviour during the idle run (i.e., just starting the application) is influenced by external factors in Tribler. Due to its decentralized nature, an idle client is still facilitating searches and synchronizations in the background. As a result, the resource usage is influenced by factors such as the number of peers in the network. Despite this, the participants both selected phenomena C and D as interesting. Participant II explained later that the

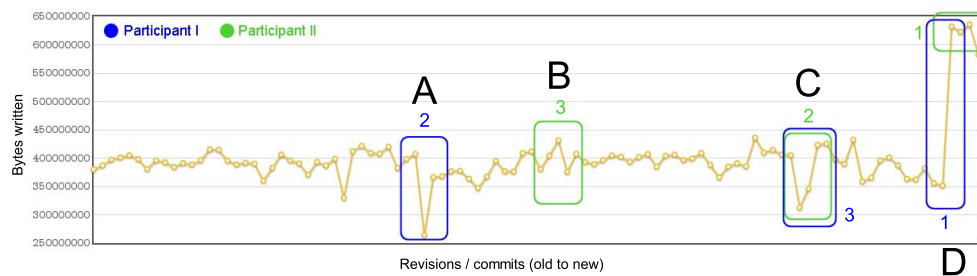


Figure 3. Average number of bytes written during a 10 minutes Tribler idle run for each commit

Table V. Overview of Tribler evaluation results

| Phenomenon | Participant | # Ranking  | Helpful? |
|------------|-------------|------------|----------|
| A          | I           | 45         | Partly   |
| B          | II          | -          | No       |
| C          | I           | 1          | No       |
|            | I           | 65         | Partly   |
|            | II          | -          | No       |
| D          | I           | 1          | Partly   |
|            | II          | 24, 26, 27 | No       |
|            | II          | 17, 31, 2  | Partly   |

difference in the choice for A and B was because he preferred investigating more recent phenomena, as their cause is more likely to still exist in the current code. In the remainder of this section, we discuss the phenomena and the participants' evaluations. Table V summarizes the results for the Tribler study.

*Phenomenon A:* During 2 out of 5 test executions, Tribler crashed for this commit. Hence, less messages were received, resulting in a lower average of bytes sent. The actual explanation for this crash cannot be retrieved from these reports, but should be retrieved from the application error logs.

*Participant's Analysis:* From the reports, participant I was able to detect that less messages were received, but he was not able to detect the actual cause for this. Therefore, he granted the behaviour to noise due to external factors. Because the participant was able to analyze the symptom correctly from our reports, but could not make the correct diagnosis, we classify this report as 'partly helpful' in Table V.

*Phenomenon B:* No significant changes were found, the variation was due to external factors.

*Participant's Analysis:* Participant II correctly diagnosed this as noise due to external factors.

*Phenomenon C:* There was no clear explanation for the drop in resource usage. It was probably due to less active users in the network during the test execution.

*Participant's Analysis:* Both participants concluded that less messages were received and that the phenomenon did not require further investigation.

*Phenomenon D:* The reason for the large increase in writes is that the committed code made part of Tribler crash. As a result, the idle run had to be killed after 15 minutes by the process guard. This allowed the part of Tribler that still was running to collect data longer than during the other runs, with the high peak in the graph as the result.

*Participant's Analysis:* Both participants correctly indicated that more messages were received and they could both identify the function which caused the large number of writes. They did not directly indicate the partial crash as the cause. Both participants advised to include 1) the actual duration of the execution and 2) a link to the application logs in the report, in order to be able to diagnose such cases better in the future.

In addition, the participants agreed that the function causing the large number of writes used too much resources. This resulted in a performance optimization, which was validated using our approach. From the reports of the validation we could see that the total number of written bytes



Table VI. Summary of field user study results

| Dispersy | Participant | Correct? | Tribler | Participant | Correct? |
|----------|-------------|----------|---------|-------------|----------|
| A        | I           | Yes      | A       | I           | Partly   |
|          | II          | -        |         | II          | -        |
| B        | I           | -        | B       | I           | -        |
|          | II          | No       |         | II          | No       |
| C        | I           | -        | C       | I           | Partly   |
|          | II          | Yes      |         | II          | No       |
| D        | I           | Yes      | D       | I           | Partly   |
|          | II          | Yes      |         | II          | Partly   |

decreased by 340MB after the fix and from the similarity reports, we could see that the stack trace disappeared from the report. This means that the function stopped doing write I/O.

### 6.3. Evaluation Results

From our evaluation, we get an indication that our approach is useful for finding performance regressions. Especially in the case of a test which is repeatable, such as the Dispersy test suite, our approach leads to detection of performance regressions, which themselves point in directions for optimization. For test suites which are influenced by external factors, such as the Tribler idle run, our analysis results require deeper investigation and may show more phenomena which are either difficult to explain using our reports, or simply are not performance regressions.

Even so, the participants were able to correctly analyze and diagnose 3 out of 4 phenomena in the Dispersy report. In addition, they were able to partly analyze 2 out of 4 phenomena in the Tribler report. The participants indicated, that with little more information, they would have been able to correctly diagnose all phenomena. These results are summarized in Table VI. Together with the participants, we concluded that the reports miss the following information:

1. The `CallsDiff` metric, which displays the difference in the number of calls to a function via the path showed in the stack trace between two commits
2. A link to the application log, so that the user of the report can check for the exit code and whether any exceptions occurred during the test execution
3. The total duration of the test execution
4. An explanation of (or solution to) the ‘Git log order’ effect, explained in Section 6.1

After the user study, one phenomenon (Dispersy phenomenon D) was optimized based on our reports. In addition, one regression (Tribler phenomenon D) was fixed. While this was not directly a performance optimization, investigation was triggered by our reports. Both the optimization and the fix could be validated using our approach after they were made. During the case study, a phenomenon was also correctly explained to be a validation of a performance bugfix. Finally, according to the participants, four out of the five phenomena which did not represent a performance regression, were easy to diagnose.

In Table IV and V, we see that in the Dispersy study the problem was indicated by the top ranked stack trace in most cases. In the Tribler study, this is not the case, but the lower ranked stack traces were selected because of their high negative impact. If we would rank the traces by the `SC` and absolute value of `TotalImpact` (instead of exact value), the traces would have had a top 3 rank as well. Hence, we can conclude that the ranking given by our approach is useful after a small adjustment. An observation we made was that the participants all used the `TotalImpact` as a guideline for indicating whether the change in behaviour of a stack trace was significant enough to investigate further. After this, they checked the `SC` to see in how many test executions the behaviour was different. This indicates that the ranking should indeed be made based upon a combination of these two metrics, and not by the `SC` or `TotalImpact` alone.

## 7. FEASIBILITY STUDY: DJANGO

To ensure the external validity of our approach and to show that our approach works for projects developed outside our laboratory, we have applied it to Django\*. Django is a web framework which allows for rapid development and clean design of web applications. Django is used by large web sites such as Instagram and Pinterest†. Django is written in Python and is currently the most popular Python project on Github‡. The Django Git repository§ contains over 15k commits since 2005. For this feasibility study, we have analyzed the write behaviour of the test suite of Django of the following revisions:

1. All (20) revisions of which the commit message contains the word ‘performance’
2. The last 100 ‘merge’ commits
3. 33 (sub)commits causing the phenomenon found during the ‘merge’ commits traversal

In contrast to the study described in Section 6, we did not conduct a user study but have conducted the analysis of Django ourselves. The setup for this feasibility study was equal to the setup described in Section 6, with the addition that we show a warning in the similarity report if a revision contained one or more crashing test suite executions. The reports can be found online [12].

The unit test suite had a coverage of 91%. During a test suite execution, an average of 426 different stack traces doing writes was monitored. The average length of a stack trace was 13.

Every run of the Django unit test suite took approximately 11 minutes. As a result, the experiment for 100 revisions and 5 iterations ran for 5500 minutes, approximately 3.5 days. Configuring GUMBY to run the Django experiment took 2 hours.

### 7.1. 20 ‘Performance’ Commits

Figure 4 shows the overview report of the revisions of which the commit message contains the word ‘performance’. In total, there were 45 performance commits, but we were only able to run the latest 20, mostly due to dependency problems as the older commits were more than two years old. Therefore, we only graphed the latest 20 performance commits.



Figure 4. Average number of MB written during execution of the Django test suite (‘performance’ commits)

Figure 4 shows 3 regressions. Because the commits were made over a period of two years, spanning 4346 commits in total, these regressions are likely due to growth of the application and of the unit test suite. In the next two sections, we will analyze the largest regression, phenomenon C, in more detail. We have analyzed phenomenon C only because of the large number of test executions required to analyze all subcommits. In a production situation, this would not be an issue, as it is possible to run the tests in parallel with the development.

\*<https://www.djangoproject.com/>

†<http://www.instagram.com/> and <http://www.pinterest.com/>

‡<http://pythonhackers.com/open-source/>

§<http://www.github.com/django/django>



### 7.2. 100 Merge Commits

Figure 5 shows the overview report of the last 100 ‘merge’ commits made to the Django repository. We analyzed only the merge commits to avoid the Git traversal order problem, as seen in the Dispersy case study. Note that this commit range was selected to include the commits of phenomenon C in Figure 4.

Phenomenon C.1 and C.2 were caused by crashing revisions and we have marked them manually as such in the similarity report. In the next section, we will investigate phenomenon C.3 in more detail. Note that this is the same phenomenon as phenomenon C in Figure 4.

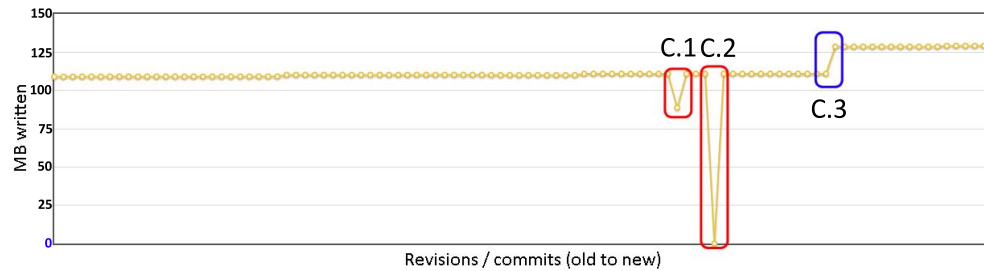


Figure 5. Average number of MB written during execution of the Django test suite (last 100 merge commits)

### 7.3. 33 Subcommits Causing the Phenomenon of Section 7.2

Because Figure 5 contains merge commits only, we must analyze all commits made between the two commits of phenomenon C.3 to precisely pinpoint the code causing the increase in writes.

Figure 6 shows the overview report of the 33 commits made between the two commits surrounding phenomenon C.3 of Figure 5. From Figure 6, the results of Section 6 and the Git commit messages we can deduce that phenomenon C.3.2 is caused by the Git traversal order: the last commit we analyzed was the commit in which the commit causing the drop of C.3.2 was merged into the main branch. Hence, we could verify that the commit causing the drop was using code from the main branch that was written before phenomenon C.3.1. After this observation, it is obvious that the increase in writes was caused by the code changes in the revision on the high side of phenomenon C.3.1. After closer inspection of the changes made in this revision\*, we found that this code implements cache-like functionality in Django. In order to allow a web site to use an older revision of files, such as stylesheets, Django adds the MD5 hash of the content to the filename. To avoid the performance burden caused by creating the MD5 hash every time the file is accessed, it can be preprocessed from the administration panel. This preprocessing is done by calling the `collectstatic` management command, which was already available in previous revisions to perform other similar functionality. After adding the MD5 hash code to the code executed by `collectstatic`, it generates more writes because the file with the hash in it is copied. Our similarity report correctly indicated that the `collectstatic` command was the #1 suspect cause of the increased writes. Because the increase in writes was intended, we can conclude that this is an ‘acceptable’ performance regression, hence it does not require a fix.

## 8. DISCUSSION

### 8.1. The Evaluation Research Questions Revisited

*Does our approach provide enough information to detect performance regressions?* From our evaluation, we get a strong indication that our reports provide, after adding the information

\*<https://github.com/django/django/commit/8efd20f96d2045cf08baded98e18d241e4c6122d>

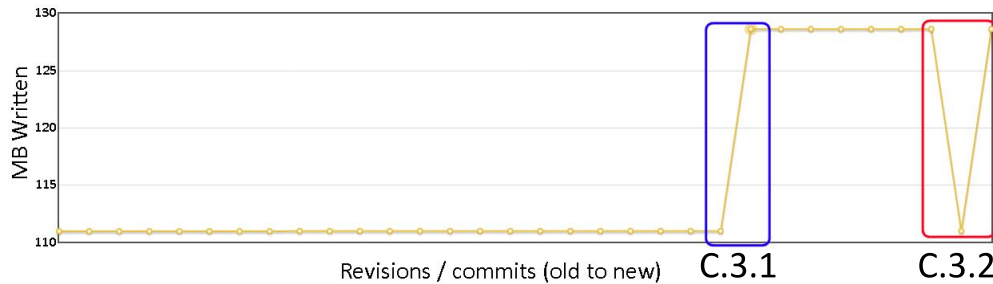


Figure 6. Average number of MB written during execution of the Django test suite (33 subcommits)

explained in Section 6.3, enough information for detecting I/O performance regressions. In our user study, one out of two detected regressions was diagnosed correctly by the participants. The participants were able to partly analyze and diagnose the second one. In addition, we were able to detect three performance regressions in the Django study, of which we analyzed one in detail.

*Does our approach provide enough information to guide the performance optimization process?* Our evaluation showed that our approach is capable of detecting I/O performance regressions in the Dispersy, Tribler and Django projects. These regressions can act as a guide during the search for possible performance optimizations. Our user study alone resulted in an optimization (Dispersy phenomenon D) and a fix (Tribler phenomenon D) that have immediately been carried through in the respective projects. Hence, we get an indication that our approach can guide the performance optimization process. In future work, we will conduct more case studies to get stronger evidence for this claim.

*Does our approach provide enough information to verify the effect of made performance optimizations?* Our approach provides enough information to validate the optimization and fix made after the user study. In addition, the participants were able to validate a performance fix made in the history of Dispersy. The participants indicated the optimizations would have been easier to validate if the difference in number of calls for each stack trace was shown in the reports, hence, these have been added to our approach.

*How does our approach work for test executions which are influenced by external factors?* From our Tribler case study, we get the indication that our approach should be adapted before it can properly deal with influence from external factors, as the participants were able to partly explain 2 out of 4 performance phenomena only. However, our case study does show that the reports provided useful and new information to the participants. Hence, we will continue to pursue our effort and in future work, we will do research on how we can minimize the effect of external factors.

## 8.2. Scalability & Limitations

For the moment, the overhead of our approach is considerable, mostly due to our inefficient implementation of the monitoring probes for Systemtap. The execution time of the Dispersy test suite increased from 320 seconds to approximately 550 seconds when the monitor was enabled. Likewise, the running time of the Django test suite increased from 325 seconds to approximately 660 seconds with the monitor enabled. While we expect that this does not affect relatively stable metrics such as I/O, we acknowledge that this must be improved to be able to monitor timing-sensitive metrics, such as execution time. In addition, to the best of our knowledge, Systemtap is the only available option for monitoring Python code with such granularity. Therefore, we will focus on minimizing the overhead of our approach in future work.

In this paper, we focused on write I/O. We set up our tooling infrastructure such that the monitoring component can easily be exchanged for another component that is able to monitor different metrics. Hence, by changing the monitoring component, our approach can analyze other performance metrics. In addition, we will investigate how we can rank stack traces on a combination

of these metrics, rather than on one metric only. This would help in making a trade-off between the various performance metrics while optimizing.

A limitation of our approach is the fact that we require stack traces to be monitored. Some existing profilers (such as the default Python profilers<sup>\*</sup>) do not offer this functionality. As a result, we must resort to tools such as Systemtap to collect the data. While Systemtap is a powerful tool, it is more difficult to use and install than most profilers. This limits the ease with which our approach is adopted.

We have implemented our approach for Python programs only. However, because Systemtap natively supports C, C++ and Java, we expect our approach will work for programs implemented in (a combination of) those languages as well. In future work, we will verify that this is indeed the case.

Another limitation is that we compare a version with its predecessor only. In future work, we will investigate if comparing with more versions can lead to new insights, such as the detection of performance degradation over longer periods.

In our approach we do not deal with errors that occurred during the test executions. When no profile could be generated for a revision, we simply compare with the last revision that has a profile. In future work, we will investigate how we can inform the user about errors better, for example by using information from the application logs in our reports.

### 8.3. Threats to Validity

With regard to external validity, we can report that we have performed our field study on Tribler and Dispersy, a set of applications which have been under development for 9 years and is downloaded over a million times. In order to make sure that our approach generalizes to non-academic oriented software systems, we have performed a feasibility study on Django, the most popular Python project on Github with 538 contributors and more than 10,000 commits. We acknowledge that future work should concentrate on gathering more evidence for the usefulness of our approach through additional case studies.

The field study on Tribler and Dispersy was conducted with two users only. While the user study was carried out with developers who have considerable experience with the application, we acknowledge that in the additional case studies that we plan to perform, we should involve more developers.

Concerning the internal validity of our approach, we acknowledge that using the range of monitored values in the profiles is not a statistically sound method. However, due to the low number of test executions, we feel that using a value such as the standard deviation does not add to the reliability of the profiles. To verify this, we have calculated the *mean* and standard deviation (*std*) for Django and Dispersy executions. We found that for the Django case, the *std* was 0 for all cases, which means that there was no difference in writes between the test executions for one revision. As a result, we could have used a lower number of executions. For Dispersy, we manually compared the ranges created by our approach,  $mean \pm std$  and  $mean \pm 2 * std$ . For these revisions, the range created by  $mean \pm std$  was on average slightly smaller than the range created by our approach, while  $mean \pm 2 * std$  resulted in slightly larger ranges. Hence, we feel that for 5 test executions, it does not really matter which approach is used. In future work, we will do more analysis on the optimal number of test executions and the statistical approach to use.

We acknowledge that the performance of an application is heavily influenced by the version of the (external) libraries and kernel it uses. To keep this threat as small as possible, we have executed our case studies from within a virtual environment. GUMBY contains a build script for this environment, allowing the case studies to be repeated in an environment which always has the same dependencies installed.

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<sup>\*</sup><https://docs.python.org/2/library/profile.html>

#### 8.4. Lessons Learned

In our studies, we have used the unit test suite to do performance regression tests. The advantage of using unit tests is that they are usually readily available, while performance tests are not. This lowers the bar for developers to do performance tests. In addition, unit testing can be done early in the development cycle, allowing potential performance issues to be caught early, possibly making their solution cheaper to implement. The disadvantage of using the unit test suite is that it was not designed to stress the performance of the application. In addition, it is possible that the analysis leads to optimizations of the unit test suite only. However, we believe that a unit test suite should contain representative behaviour of the application. Therefore, we expect that performance regressions occurring in the test suite are likely to occur in the application itself. In future work, we will investigate how our choice of using the unit test suite affects the analysis results of our approach.

During our studies, we learned that the filename of the file written to was not always correctly captured by our approach. This was due to the fact that we assumed that only one file descriptor is used within a function. For the Tribler project, this was often the case, due to the style of programming. In addition, we learned from discussion with the developers that they usually had a good indication of what was causing the writes by just knowing the stack trace doing the writes. While we feel that this wrong assumption did not affect the results of our case studies, we will fix this issue in future work by taking our monitoring granularity one step further and monitoring writes per file descriptor per function, instead of just per function\*.

Although we did not encounter this during our studies, special care must be taken in the case of refactoring. Because refactored code paths are considered new, they will receive a high ranking in the similarity report if they perform writes. If new code, which performs writes, is introduced as well in the same revision, analysis results can be confusing. This can be avoided by separately committing refactoring changes and new code (i.e., *self-contained* commits [13]).

The final lesson we learned is that it is useful to do performance regression testing in an automated fashion using a continuous integration environment such as Jenkins<sup>†</sup>. This is because automation 1) allows for quick feedback, which in turn will help in finding early solutions to performance issues, and 2) will help in lowering the bar for software developers to incorporate regression testing into the development cycle.

## 9. RELATED WORK

Comparison of execution profiles and the detection of performance regressions have received surprisingly little attention in research. Savari [14] has proposed a method which works for frequency-based profiling methods. Our approach works for any type of metric on a function-level granularity.

The widely-used profiler OProfile<sup>‡</sup> implements a technique known as differential profiles, which expresses differences between profiles in percentage. The problem with this technique, is that it reports high percentages for the difference of small values. For example, the difference between 1Kb and 2Kb is 100%, while the absolute difference is relatively small. As a result, it is unknown whether this difference is significant, without incorporating the number of calls to the function. Our approach calculates the impact of the code change instead, which does not have this problem.

Bergel et al. [15] have proposed a profiler for Pharo which compares profiles using visualization. In their visualization, the size of an element describes the execution time and number of calls. Alcocer [16] extends Bergel's approach by proposing a method for reducing the generated callgraph. These visualizations require human interpretation, which is difficult when the compared profiles are very different [15]. Our approach provides a textual ranking, which we expect to be easier to

\*Note: this was implemented in the latest version of GUMBY, which was developed after performing the experiments.

<sup>†</sup><http://jenkins-ci.org/>

<sup>‡</sup><http://oprofile.sourceforge.net/>

interpret. However, we believe that the work of Bergel et al., Alcocer and our approach can be supplemental to each other, and we will investigate this in future work.

Jiang et al. [17] analyze readily available execution log files to see if the results of a new load test deviate from previous ones. The advantage of this approach is that it does not introduce extra monitoring overhead. However, this also limits the granularity with which regression analysis can be performed. This is also demonstrated by the granularity of their case studies: in three conducted case studies, they analyze system and application-wide tasks such as finding the optimal DBMS configuration. Our approach does not have such a limitation. However, this comes at the cost of increased overhead.

Nguyen et al. [18] propose an approach for detecting performance regressions using statistical process control techniques. Nguyen et al. use control charts to decide whether a monitored value is outside an accepted range. The violation ratio defines the relative number of times a value is outside this range. Control charts and the violation ratio are similar to our profile approach. The approach of Nguyen is more statistically sound than our approach, however, we expect that this is not necessarily an improvement when using a small number of test executions. The main difference in the approach used by Nguyen and our approach is the granularity. Their approach identifies performance regressions in system-level metrics, while our approach identifies regressions on the function-level, making analysis of the regression easier. In future work, we will investigate how our approach and Nguyen's approach can complement each other.

## 10. CONCLUSION

In this paper, we proposed a technique for detecting and analyzing I/O performance regressions. By comparing execution profiles of two software versions, we report on the functions of which the performance profile changed the most. This report can be used to find regressions or to validate performance optimizations. In this paper, we focused on analyzing write I/O regressions, which is a relatively stable metric. In future work, we will investigate how our approach performs when applied to less stable, timing-sensitive metrics, such as execution time and CPU usage.

In a field user study, we showed that our approach provides adequate information to detect write I/O regressions and guides the performance optimization process. In fact, our field user study resulted in one optimization and one fix made to our subject system. In addition, we show that our approach was able to detect three performance regressions in one of the most popular Python projects, namely Django. To summarize, we make the following contributions:

1. An approach for the detection and analysis of I/O performance regressions
2. An open-source implementation of this approach, called SPECTRAPERF
3. A user study in which we show that our approach guides the performance optimization process
4. A feasibility study in which we show that our approach works on applications developed outside our laboratory

Revisiting our research questions:

*RQ 1: How can we monitor performance data and generate a comparable profile out of this data?* We have proposed an approach using Systemtap to monitor data and we have showed how to generate a comparable profile from this data.

*RQ 2: How can we compare the generated performance profiles?* We have presented our approach for comparing performance profiles, and provide a ranking of the stack traces which were most likely to have changed behaviour. This ranking is made based on the *similarity coefficient* compared to the previous performance profile, and the *total impact* of a source code change on performance. In our user study, we showed the ranking was useful in 3 out of 8 cases and partly useful in 3 out of 8 cases and helped the participants find one optimization and one fix. In addition, we were able to detect three performance regressions in Django, one of the most popular Python projects.

*RQ 3: How can we analyze and report on the differences between profiles?* We have showed how we report on the data and we have evaluated this reporting technique in a field user study. During this study, we analyzed the performance history of the open-source peer-to-peer client Tribler and one of its components, Dispersy. The field user study resulted in one optimization and one fix,

which were also validated using our approach. During the user study, we found that our approach works well for repeatable tests, such as a unit test suite, as the participants were able to explain 3 out of 4 performance phenomena encountered during such a test using our approach. We also received indication that it needs adaptation for a test which was influenced by external factors, as the participants were able to explain 2 out of 4 performance phenomena partly only.

*Main RQ: How can we guide the performance optimization process by doing performance regression tests?* We have showed that our approach for performance analysis can guide the performance optimization process by detecting I/O performance regressions. The results of our field user study alone, resulted in one optimization for Dispersy and a fix for Tribler.

In future work, we will focus doing more case studies and on extending our approach to monitor different performance metrics such as memory and CPU usage. Additionally, we will investigate how we can report on trade-offs between these metrics.

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TUD-SERG-2014-010  
ISSN 1872-5392

